

Docket No.: 070456-0016 **PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Customer Number: 20277

ouichi OKUGAMI, et al.

Confirmation Number: 4835

Application No.: 10/787,221

Group Art Unit: 3682

Filed: February 27, 2004

Examiner: Justin Krause

For: TRANSMISSION COMPONENT, METHOD OF MANUFACTURING THE SAME, AND

TAPERED ROLLER BEARING

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

10/787,221

CERTIFICATION PARAGRAPH

The undersigned certifies that each item of information contained in this Information

Disclosure Statement was first cited in a communication from a foreign patent office in a

counterpart foreign application not more than three months prior to the filing of this Information

Disclosure Statement as described in 37 CFR 1.97(e)(1).

The references were cited in a corresponding Japanese Office Action and its relevance is

discussed therein. A copy of the Japanese Office Action, together with an English language

version thereof, is attached for the Examiner's information.

Please charge any shortage in fees due in connection with the filing of this paper,

including extension of time fees, to Deposit Account 500417 and please credit any excess fees to

such deposit account.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Please recognize our Customer No. 20277

Stephen A. Becker

Registration No. 26,527

as our correspondence address.

600 13th Street, N.W. Washington, DC 20005-3096

Phone: 202.756.8000 SAB:sd

Facsimile: 202,756,8087

Date: May 21, 2007

2

SHEET 1 OF 1

INFÒ	CIT	AT	ON DISCLOS TION IN AN LICATION	SURE	ATTY. DOCKET NO. 070456-0016		SERIAL NO. 10/787,221				
					APPLICANT Kouichi OKUGA	APPLICANT  Kouichi OKUGAMI, et al.					
,		(PT	O-1449)		FILING DATE February 27, 20	GROUP 3682					
			U	S. PATEN	T DOCUMENTS						
EXAMINER'S INITIALS	CITE NO.	Nui	Document Number mber-Kind Code2 (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applic Document				es, Columns, Lines, Where evant Passages or Relevant Figures Appear		
		US					$\Box$				
		US US		<b></b>							
		US	<u> </u>	<del> </del>			$\rightarrow$		<u> </u>	+	
		υs					士				
					TENT DOCUMENTS						
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes -Number 4 -Kind Codes (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear		vant	Translation Yes No	,	
			JP 06-341441	12-13-1994	NIPPON SEIKO KK				JAPAN (w/English abstract)		
			JP 10-204612	08-04-1998	NIPPON SEIKO KK				JAPAN (w/English abstract)		
			JP 11-080897	03-26-1999	NIPPON SEIKO KK				JAPAN (w/English abstract)		
	<del></del>	—	!			<u> </u>					
	<del></del>	┼				<del> </del>					
	Ь	Ь	OTHER A	RT (Including Auth	or, Title, Date, Pertinent Pages, E	tc.)			<u>_</u>		
EXAMINER'S INITIALS CITE NO. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.											
Japanese Office Action with English translation issued in corresponding Japanese Patent Application No. 2002-194804, mailed March 20, 2007											
		$\Box$									
İ		EX	AMINER			DATE CONSIDERED					

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.